## BEFORE THE DISCIPLINARY BOARD OF THE SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL,

: No. 74 DB 2025

Petitioner

: File Nos. C4-22-704, C4-23-932 & C4-24-1060

٧.

GREGORY W. SWANK.

Attorney Registration No. 61092

Respondent

: (Armstrong County)

ORDER

AND NOW, this 18th day of June 2025, in accordance with Rule 208(a)(5), Pa.R.D.E., the determination by a Review Panel of the Disciplinary Board of the Supreme Court of Pennsylvania, in the above captioned matter is accepted; and it is

ORDERED that the said GREGORY W. SWANK of Armstrong County, be subjected to a PUBLIC REPRIMAND WITH CONDITIONS by the Disciplinary Board of the Supreme Court of Pennsylvania as provided in Rule 204(b) and Rule 205(c)(8) of the Pennsylvania Rules of Disciplinary Enforcement.

Prior to the imposition of the Public Reprimand, Respondent shall submit to the Prothonotary of the Board and Disciplinary Counsel proof that he has:

- 1. Refunded \$1,936.00 to Danielle M. Reinke.
- 2. Refunded \$5,000.00 to Lea Ann McCartney.

Failure to comply with the above Condition shall be grounds for reconsideration of this matter and prosecution of formal charges against Respondent under the specific provision of Enforcement Rule 204(b). Costs shall be paid by the Respondent.

BY THE BOARD:

in S. Seroff

**Board Chair** 

TRUE COPY FROM RECORD Attest:

lance D' Marcee D. Sloan, Prothonotary The Disciplinary Board of the Supreme Court of Pennsylvania

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OFFICE OF DISCIPLINARY COUNSEL, : No. 74 DB 2025

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24-1060

: Attorney Registration No. 61092

GREGORY W. SWANK,

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Respondent : (Armstrong County)

## **PUBLIC REPRIMAND**

Gregory W. Swank, you appear before the Disciplinary Board for the imposition of a Public Reprimand ordered by the Board on June 18, 2025. As a condition of this reprimand, the Board ordered that you submit proof to the Office of Disciplinary Counsel ("ODC") and the Board that you refunded \$1,936 to Danielle M. Reinke and \$5,000 to Lea Ann McCartney. By letter dated June 18, 2025, the Board notified you of the disposition of this matter and further notified you of your opportunity to demand as of right the institution of formal charges within twenty days of the date of notification. You did not demand the institution of formal charges; therefore, you accept this public reprimand.

It is an unpleasant task to publicly reprimand one who has been granted the privilege of membership in the bar of the Commonwealth. Yet as repugnant as this task may be, it has been found necessary that you receive this public discipline.

Attorney Swank, the record indicates that you engaged in misconduct in three separate matters. In the Danielle Reinke matter, you were retained to represent your client in a divorce/custody case. Ms. Reinke executed a fee agreement requiring payment of a non-refundable \$3,000 retainer, which she paid. You rendered legal services on your client's behalf between April 2018 and July 2020, during which time your office billed Ms.

Reinke and she paid all invoices. In late July 2020, you submitted an invoice to your client requesting a payment of \$64 and that she replenish her retainer. Ms. Reinke paid what she owed and replenished her retainer in the amount of \$1,963. You performed no legal services for Ms. Reinke after July 2020. In October 2020, Ms. Reinke informed you that she had retained new counsel and requested a refund of the unearned portion of the retainer. You failed to respond to multiple written communications.

In the Barry Shick matter, in 2021, you were retained to represent your client in a property matter. Mr. Shick paid a non-refundable \$2,500 retainer to you, but you took no appreciable action on Mr. Shick's matter. Mr. Shick hired new counsel and sought an accounting of unearned fees, via several email requests. You failed to respond. In response to ODC's inquiry, you claimed that you never received the emails from Mr. Shick or successor counsel. You also indicated that you informed Mr. Shick that he did not have a viable claim for adverse possession, but there is no evidence you communicated your conclusion to Mr. Shick or successor counsel.

In the Lea Ann McCartney matter, on May 14, 2024, you were retained to represent your client in a divorce. Ms. McCartney paid a nonrefundable retainer of \$5,000, which you identified as earned upon receipt, billable at your hourly rate. You entered your appearance on May 20, 2024. Between May and June 2024, Ms. McCartney made numerous phone calls to you to obtain the status of her matter. You did not respond. On June 10, 2024, you received notice from your client that she had terminated your services due to your communication deficiencies. Ms. McCartney requested a refund of the \$5,000 retainer as you had performed no appreciable services on her behalf. Between June and November 2024, Ms. McCartney obtained new counsel and called you on numerous occasions seeking her refund. During that time you failed to respond, provide an

accounting, or refund any of the \$5,000. You failed to withdraw your appearance, prompting Ms. McCartney's new counsel to contact you and provide a substitution of counsel form to file with the Court, and to advise that Ms. McCartney wanted a refund of the retainer. You failed to respond to the new counsel's communication or return any portion of the retainer at that time and failed to respond to ODC's inquiries.

By your conduct, you violated the following Rules of Professional Conduct ("RPC") and Pennsylvania Rules of Disciplinary Enforcement ("Pa.R.D.E."):

- RPC 1.3 A lawyer shall act with reasonable diligence and promptness in representing a client.
- RPC 1.4(a)(2) A lawyer shall reasonably consult with the client about the means by which the client's objectives are to be accomplished.
- 3. RPC 1.4(a)(3) A lawyer shall keep the client reasonably informed about the status of the matter.
- RPC 1.4(a)(4) A lawyer shall promptly comply with reasonable requests for information.
- RPC 1.4(b) A lawyer shall explain a matter to the extent reasonably necessary to permit the client to make informed decisions regarding the representation.
- 6. RPC 1.5(a) A lawyer shall not enter into an agreement for, charge, or collect an illegal or clearly excessive fee.
- RPC 1.16(a)(3) A lawyer shall withdraw from the representation of a client if the lawyer is discharged.

8. RPC 1.16(d) – Upon termination of representation, a lawyer shall take steps to

the extent reasonably practicable to protect a client's interests, such as giving

reasonable notice to the client, allowing time for employment of other counsel,

surrendering papers and property to which the client is entitled, and refunding

any advance payment of fee or expense that has not been earned or incurred.

9. Pa.R.D.E. 203(b)(7) - Failure by a respondent-attorney without good cause to

respond to Disciplinary Counsel's request or supplemental request under

Disciplinary Board Rules § 87.7(b) for a statement of the respondent-attorney's

position.

Attorney Swank, your conduct in this matter is public. This Public Reprimand is a

matter of public record and shall be posted on the Disciplinary Board's website at

www.padisciplinaryboard.org.

It is the Board's duty to reprimand you for your misconduct. We note that you

received discipline in the form of an informal admonition with condition in 2022. Please

be aware that subsequent violations of the rules may result in further discipline and more

severe sanctions. We sincerely hope that you will conduct yourself in such a manner that

future disciplinary action will be unnecessary.

Designated Member

The Disciplinary Board of the

Supreme Court of Pennsylvania

Administered by a designated panel of three Members of The Disciplinary Board of the

Supreme Court of Pennsylvania on August 26, 2025.

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